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**Application
for
United States Letters Patent**

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To all whom it may concern:

Be it known that David Baltimore, Genhong Cheng, Aileen Cleary, Seth Lederman and Zheng-sheng Ye

have invented certain new and useful improvements in

TRUNCATED CRAF1 INHIBITS CD40 SIGNALING

of which the following is a full, clear and exact description.

TRUNCATED CRAF1 INHIBITS CD40 SIGNALING

5 This application claims the benefit of U.S. Provisional No. 60/013,199, filed March 11, 1996, the contents of which are hereby incorporated by reference into the present application.

10 The invention disclosed herein was made with Government support under NIH Grant Nos. RO1-CA55713 and A122346 from the Department of Health and Human Services. Accordingly, the U.S. Government has certain rights in this invention.

15 Throughout this application, various references are referred to within parentheses. Disclosures of these publications in their entireties are hereby incorporated by reference into this application to more fully
20 describe the state of the art to which this invention pertains. Full bibliographic citation for these references may be found in the text and at the end of this application, preceding the sequence listing and the claims.

25 The following standard abbreviations are used throughout to refer to amino acids:

A	Ala	Alanine	M	Met	Methionine
C	Cys	Cysteine	N	Asn	Asparagine
30 D	Asp	Aspartic acid	P	Pro	Proline
E	Glu	Glutamic acid	Q	Gln	Glutamine
F	Phe	Phenylalanine	R	Arg	Arginine
G	Gly	Glycine	S	Ser	Serine
H	His	Histidine	T	Thr	Threonine
35 I	Ile	Isoleucine	V	Val	Valine
K	Lys	Lysine	W	Trp	Tryptophan
L	Leu	Leucine	Y	Tyr	Tyrosine

Background of the Invention

40 CD40 (1) is a receptor on B cells that interacts with

the helper T cell surface protein CD40L (CD40 ligand, also known as T-BAM, gp39, or TRAP) (2-4). CD40L is found particularly on lymphoid follicle CD4⁺ T lymphocytes, where it delivers a contact-dependent signal that stimulates B cell survival, growth, and differentiation (2-4). Signaling through CD40 rescues B cells from apoptosis induced by Fas (CD95) or by cross-linking of the immunoglobulin M (IgM) complex (5); it also induces B cells to differentiate and to undergo Ig isotype switching (3) and to express CD80 (B7 or BB-1) (6). The crucial role of CD40L-CD40 interaction is illustrated by humans with defects in CD40L, who manifest a serious immune deficiency syndrome, the X-linked hyper-IgM syndrome (HIGM1) characterized by an absence of IgG, IgA, and IgE, elevated IgM, and no lymphoid follicles (7). The essential roles of CD40L and CD40 in the phenotype of HIGM1 syndrome has been confirmed by targeted disruption of either CD40L (8) or CD40 (9) in mice. In addition to B cells, CD40 is also expressed by follicular dendritic cells (10), dendritic cells (11), activated macrophages (12), epithelial cells (including thymic epithelium) (13), and a variety of tumor cells.

Stimulation of CD40 causes the tyrosine phosphorylation of multiple substrates including Src family kinases such as p53-p56^{l^{ym}}, activates multiple serine-threonine-specific protein kinases, and induces the phosphorylation of phospholipase C- γ 2 and of phosphoinositide-3' kinase (14).

In mice the CD40 cytoplasmic tail is necessary for signaling (15). Proteins which interact with the cytoplasmic tail of CD40 have been described (H.M. Hu, et al., J. Biol. Chem. 269: 30069 (1994); and G. Mosialos, et al., Cell 80:389 (1995)). These proteins are the same as CRAF1.

Summary of the Invention

5 This invention provides a protein comprising CRAF1 truncated by from about 323 to about 414 amino acid residues at the amino terminus, or a variant thereof capable of inhibiting CD40-mediated cell activation.

10 This invention provides a method of inhibiting activation by CD40 ligand of cells bearing CD40 on the cell surface, comprising providing the cells with an agent capable of inhibiting CD40-mediated intracellular signaling, the agent being present in an amount effective to inhibit activation of the cells.

15 This invention provides a method of providing a subject with an amount of a protein comprising CRAF1 truncated by from about 323 to about 414 amino acid residues at the amino terminus, or a variant thereof effective to inhibit activation by CD40 ligand of cells bearing CD40
20 on the cell surface in the subject, comprising: introducing into CD40-bearing cells of the subject, a nucleic acid sequence encoding the protein under conditions such that the cells express in the subject an activation inhibiting effective amount of the protein.

25 This invention provides a method of treating a condition characterized by an aberrant or unwanted level of CD40-mediated intracellular signaling, in a subject, comprising providing the subject with a therapeutically
30 effective amount of an agent capable of inhibiting CD40-mediated intracellular signaling in cells bearing CD40 on the cell surface.

35 This invention provides a nucleic acid molecule encoding a protein comprising CRAF1 truncated by from about 323 to about 414 amino acid residues at the amino terminus, or a variant thereof capable of inhibiting CD40-mediated

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Figure 3. Mapping the CD40 binding and homodimerization domain of CRAF1. C26NX and C26XC represent fragments from the NH₂-terminus of C26 to the internal XhoI site and from the XhoI site to the COOH-terminus of CRAF1, respectively. C26ΔNB was made by deletion of the NcoI-Bgl II fragment in the 3' untranslated region of the C26

cDNA clone. The full TRAF domain of CRAF1 was synthesized by the polymerase chain reaction with the use of plaque-forming units of DNA polymerase. Various DNA fragments were ligated in-frame into yeast expression vectors encoding either the LexA DNA-binding domain (LexA) or the transcriptional activation domain (TAD). For CD40 binding assays, the LexA construct containing the CD40 cytoplasmic tail and various TAD fusion constructs were cotransfected into yeast strain EGY48 along with the lacZ-containing reporter vector (pSH18-34). Colonies that grew up on synthetic dextrose plates without tryptophan, uracil, and histidine were replica-plated to plates with or without leucine and tested for galactose-inducible blue color in the presence of x-gal. LexA constructs containing the cytoplasmic tails of Fas and TNF α R1I were also included in the same experiments to test their interaction with the C26 clone. For dimerization assays, various LexA fusion constructs containing different fragments of C26 were used in every combination with various TAD fusion constructs. Transformants that grew on plates lacking leucine and that showed galactose-inducible blue are marked "+"; this was further confirmed by β -galactosidase assays with the use of yeast grown in liquid cultures (34). Transformants that grew only on plates containing leucine but that did not show blue on x-gal plates are marked "-"; ND, experiments not done.

Figures 4A-M. Effect of C26 fusion proteins on CD40L: CD40-induced CD23 up-regulation. (A) Northern blot analysis of Ramos 2G6 transfectants. Total RNA (2 μ g) from the Jurkat T cell line (B2.7) was used for markers. In other lanes, polyadenylate-containing RNA (0.75 μ g per lane) was obtained from the untransfected Ramos 2G6 clone (Ramos) or pEBVHis/C26 Ramos transfectants (B6, C5, or D10). RNA blots of control and transfected cell lines were probed with C26 cDNA or an actin probe. (B-M)

Figures 5A-B. cDNA nucleotide sequence and predicted amino acid sequences of mouse CRAF1. The cDNA nucleotide sequence is also deposited in GenBank with accession number U21050.

Figures 6A-B. cDNA nucleotide sequence and predicted amino acid sequences of human CRAF1. The cDNA nucleotide sequence is also deposited in GenBank with accession number U21092.

Detailed Description

5 This invention provides a protein comprising CRAF1 truncated by from about 323 to about 414 amino acid residues at the amino terminus, or a variant thereof capable of inhibiting CD40-mediated cell activation. In an embodiment the variant comprises a conservative amino acid substitution.

10 Variants can differ from naturally occurring CD40 or CD40 ligand in amino acid sequence or in ways that do not involve sequence, or both. Variants in amino acid sequence are produced when one or more amino acids in
15 naturally occurring CD40 or CD40 ligand is substituted with a different natural amino acid, an amino acid derivative or non-native amino acid. When a nucleic acid molecule encoding the protein is expressed in a cell, one naturally occurring amino acid will generally be substituted for another. Conservative substitutions
20 typically include the substitution of one amino acid for another with similar characteristics such as substitutions within the following groups: valine, glycine; glycine, alanine; valine, isoleucine; aspartic acid, glutamic acid; asparagine, glutamine; serine, threonine; lysine, arginine; and phenylalanine,
25 tyrosine. The non-polar (hydrophobic) amino acids include alanine, leucine, isoleucine, valine, proline, phenylalanine, tryptophan and methionine. The polar neutral amino acids include glycine, serine, threonine,
30 cysteine, tyrosine, asparagine and glutamine. The positively charged (basic) amino acids include arginine, lysine and histidine. The negatively charged (acidic) amino acids include aspartic acid and glutamic acid.

35 Other conservative substitutions can be taken from Table 1, and yet others are described by Dayhoff in the Atlas of Protein Sequence and Structure (1988).

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Serine	S	D-Ser, Thr, D-Thr, allo-Thr, Met, D-Met, Met (O), D-Met (O), Val, D-Val
Threonine	T	D-Thr, Ser, D-Ser, allo-Thr, Met, D-Met, Met (O) D-Met (O), Val, D-Val
Tyrosine	Y	D-Tyr, Phe, D-Phe, L-Dopa, His, D-His
Valine	V	D-Val, Leu, D-Leu, Ile, D-Ile, Met, D-Met

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Other variants within the invention are those with modifications which increase peptide stability. Such variants may contain, for example, one or more non-peptide bonds (which replace the peptide bonds) in the peptide sequence. Also included are: variants that include residues other than naturally occurring L-amino acids, such as D-amino acids or non-naturally occurring or synthetic amino acids such as beta or gamma amino acids and cyclic variants. Incorporation of D- instead of L-amino acids into the polypeptide may increase its resistance to proteases. See, e.g., U.S. Patent No. 5,219,990.

The protein of this invention may also be modified by various changes such as insertions, deletions and substitutions, either conservative or nonconservative where such changes might provide for certain advantages in their use.

In other embodiments, variants with amino acid substitutions which are less conservative may also result in desired derivatives, e.g., by causing changes in charge, conformation and other biological properties. Such substitutions would include for example, substitution of hydrophilic residue for a hydrophobic residue, substitution of a cysteine or proline for

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saturated, monounsaturated or polyunsaturated. The fatty acid may also be singly or multiply fluorinated. The invention also includes methionine analogs of the protein, for example the methionine sulfone and methionine sulfoxide analogs. The invention also includes salts of the proteins, such as ammonium salts, including alkyl or aryl ammonium salts, sulfate, hydrogen sulfate, phosphate, hydrogen phosphate, dihydrogen phosphate, thiosulfate, carbonate, bicarbonate, benzoate, sulfonate, thiosulfonate, mesylate, ethyl sulfonate and benzensulfonate salts.

In specific embodiments the CRAF1 is mouse or human CRAF1.

This invention provides a method of inhibiting activation by CD40 ligand of cells bearing CD40 on the cell surface, comprising providing the cells with an agent capable of inhibiting CD40-mediated intracellular signaling, the agent being present in an amount effective to inhibit activation of the cells. In an embodiment the agent is a protein comprising CRAF1 truncated by from about 323 to about 414 amino acid residues at the amino terminus, or a variant thereof.

In an embodiment of the method of inhibiting activation by CD40 ligand of cells bearing CD40 on the cell surface, the cells are provided with the protein of this invention by introducing into the cells a nucleic acid sequence encoding the protein under conditions such that the cells express an amount of the protein effective to inhibit activation of the cells. The nucleic acid may be DNA (including cDNA) or RNA. It may be single or double stranded, linear or circular. It may be in the form of a vector such as a plasmid or a viral vector. Preferably the nucleic acid sequence is operably linked to a transcriptional control sequence recognized by the

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host cell.

5 In another embodiment the agent is a small molecule. As used herein a small molecule is a compound capable of entering the cell. Preferably it has a molecular weight between 20 Da and 1×10^6 Da, preferably from 50 Da to 2 kDa.

10 In an embodiment the agent is modified from a lead inhibitory agent. In an embodiment the agent specifically binds to CD40 intracellular domain.

15 In embodiments of the methods described herein, the CD40-bearing cells are selected from the group consisting of B cells, fibroblasts, endothelial cells, epithelial cells, T cells, basophils, macrophages, Reed-Steinberg cells, dendritic cells, renal cells, and smooth muscle cells.

20 In a more specific embodiment the B cells are resting B cells, primed B cells, myeloma cells, lymphocytic leukemia B cells, or B lymphoma cells. In another specific embodiment the epithelial cells are keratinocytes. In another embodiment the fibroblasts
25 are synovial membrane fibroblasts, dermal fibroblasts, pulmonary fibroblasts, or liver fibroblasts. In another specific embodiment the renal cells are selected from the group consisting of glomerular endothelial cells, mesangial cells, distal tubule cells, proximal tubule
30 cells, parietal epithelial cells (e.g., crescent parietal epithelial cells), visceral epithelial cells, cells of a Henle limb, and interstitial inflammatory cells. In another embodiment the smooth muscle cells are smooth muscle cells of the bladder, vascular smooth
35 muscle cells, aortic smooth muscle cells, coronary smooth muscle cells, pulmonary smooth muscle cells, or gastrointestinal smooth muscle cells. In a more

specific embodiment the gastrointestinal smooth muscle cells are esophageal smooth muscle cells, stomachic smooth muscle cells, smooth muscle cells of the small intestine, or smooth muscle cells of the large intestine.

This invention provides a method of providing a subject with an amount of the protein of this invention effective to inhibit activation by CD40 ligand of cells bearing CD40 on the cell surface in the subject, comprising: introducing into CD40-bearing cells of the subject, a nucleic acid sequence encoding the protein of this invention, under conditions such that the cells express in the subject an activation inhibiting effective amount of the protein.

In an embodiment of this invention the introducing of the nucleic acid into cells of the subject comprises: a) treating cells of the subject ex vivo to insert the nucleic acid sequence into the cells; and b) introducing the cells from step a) into the subject.

The subject which can be treated by the above-described methods is an animal. Preferably the animal is a mammal. Subjects specifically intended for treatment with the method of the invention include humans, as well as nonhuman primates, sheep, horses, cattle, goats, pigs, dogs, cats, rabbits, guinea pigs, hamsters, gerbils, rats and mice, as well as the organs, tumors, and cells derived or originating from these hosts.

This invention provides a method of treating a condition characterized by an unwanted level of CD40-mediated intracellular signaling, in a subject, comprising providing the subject with an amount of an agent capable of inhibiting CD40-mediated intracellular signaling in cells bearing CD40 on the cell surface.

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In an embodiment the condition is a smooth muscle cell-dependent disease. Examples include vascular diseases such as atherosclerosis; gastrointestinal diseases such as esophageal dysmotility, inflammatory bowel disease, and scleroderma; and bladder diseases.

20 This invention provides a nucleic acid molecule encoding the protein of this invention. The nucleic acid may be DNA (including cDNA) or RNA. It may be single or double stranded, linear or circular. It may be in the form of
25 a vector, such as a plasmid or viral vector, which comprises the nucleic acid molecule operably linked to a transcriptional control sequence recognized by a host cell transformed with the vector.

30 This invention provides a method of identifying an agent capable of inhibiting CD40-mediated intracellular signaling in a cell expressing CD40 on the cell surface, comprising providing the cell with the agent under conditions permitting activation of the cell in the
35 absence of the agent, and determining decreased or absent activation, thereby identifying an agent capable of inhibiting CD40-mediated intracellular signaling in

a cell expressing CD40 on the cell surface. In an embodiment the activation comprises up-regulation of CD23. In an embodiment the conditions permitting activation of the cell comprises contacting the cell with CD40 ligand or portion thereof effective to activate the cell.

This invention will be better understood from the Experimental Details which follow. However, one skilled in the art will readily appreciate that the specific methods and results discussed are merely illustrative of the invention as described more fully in the claims which follow thereafter.

Experimental Details

Activity of N-terminal Truncated CRAF1

The yeast two-hybrid system was used to identify complementary DNAs (cDNAs) encoding protein domains that can bind to the tail. The bait for the yeast two-hybrid screen was a LexA fusion protein containing the cytoplasmic tail of the mouse CD40 receptor (from residue 219 to the COOH-terminus).

The cDNA library for the yeast two-hybrid screen was a mixture of oligo(dT) and random primed cDNAs constructed into the yeast expression vector YSD, which is a centromere-based, galactose-induced yeast expression vector containing the VP16 transcription activation domain. Half of the mRNA used for cDNA synthesis was isolated from uninduced 70Z cells, and the other half was from 70Z cells that were induced with lipopolysaccharide for 12 hours. The primary library contained about 8×10^5 individual clones, with an average insert size of 0.9 kb. From 2×10^6 clones of the murine 70Z pre-B cell cDNA library, one (C26) was

isolated that met all specificity criteria for binding to the cytoplasmic tail of CD40 in yeast. The C26 cDNA fragment was sequenced and no identical gene was evident in the databases. This gene is called CRAF1 for CD40
5 receptor-associated factor 1. By Northern (RNA) blot analysis, CRAF1 was expressed in B cell lines representing different stages of B cell differentiation; in addition, it was expressed in all murine tissues examined, including brain, heart, lung, liver, kidney,
10 muscle, small intestine, spleen, and thymus (18).

Mouse and human cDNA libraries were screened to isolate cDNA clones encoding the entire open reading frame of a murine 567-amino acid and a human 568-amino acid
15 protein. The two sequences share 96% identity, with the differences concentrated near the NH₂-terminus, indicating that CRAF1 is evolutionarily conserved, particularly in its COOH-terminal 400 amino acids (Fig. 1). The CRAF1 sequence is similar to that of TNF- α
20 receptor-associated factors 1 and 2 (TRAF1 and TRAF2), which can complex with the cytoplasmic tail of TNF- α receptor II (TNF α RII) (19). The COOH-terminus of CRAF1 is related by sequence to each of these TRAF proteins for 150 amino acids, wherein CRAF1 is 59 and 62%
25 identical to TRAF1 or TRAF2, respectively (Fig. 2) (19). This homology subdivides what was termed the "TRAF domain," excluding a more NH₂-terminal putative coiled-coiled subdomain (TRAF-N) with which CRAF1 shares only 16 or 12% homology and defines a "TRAF-C" (for COOH-
30 terminal) domain. Because the extracellular domains of CD40 and TNF α RII are homologous, as are their ligands, these data suggest that they may make use of related but distinct signaling molecules. However, the cytoplasmic domains of CD40 and TNF α RII contain no apparent sequence
35 homology, which suggests that the particular contacts involved in binding the signaling molecules to the receptors have diverged.

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In addition to the TRAF-C domain, sequence analysis of the CRAF1 protein revealed three potential domains: an amphipathic helix, a string of Zn fingers, and a Zn ring finger domain (Fig. 2A). A helical wheel representation of the putative helix (Fig. 2B) shows that isoleucine (or occasionally leucine) repeats every seven residues through eight consecutive repeats, which implies the presence of an isoleucine zipper in analogy to the leucine zipper seen in other proteins (20). The wheel also indicates that the position next to the zipper is always hydrophobic or uncharged, whereas the other positions around the wheel include multiple charged residues and few hydrophobic ones. This strongly suggests an amphipathic structure that could be an interaction site for another such helix.

There are five repeats of potential Zn fingers just NH₂-terminal to the isoleucine repeats (Fig. 2C). However, the four amino acids that would contact the metal are arranged in the unique pattern Cys-X₂₋₆-Cys-X_{11,12}-His-X₃₋₇-Cys(His), instead of Cys-X₂₋₄-Cys-X_{12,13}-His-X₂₋₄-His, which is seen in classic Zn fingers (21). At the COOH-terminal edge of finger 2 is a sequence (KACKYR)[^] that could bind to DNA, which suggests that CRAF1 might be a DNA binding protein. The TRAF2 protein contains five fingers with the same pattern of repeats seen in the CRAF1 protein but with weak overall similarity (Fig. 2A), suggesting that these structural units may represent a subclass of Zn finger motifs in this type of signaling molecule. In addition, a Zn ring structure was also evident in the NH₂-terminus of CRAF1 (Fig. 2D) (23). This ring motif has been recognized in over 40 proteins that have diverse functions related to DNA mechanics, including recombination, repair, and transcription regulation (24). These structural data suggest that CRAF1 directly transmits CD40 signals to the nucleus.

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To further map the region of CRAF1 that interacts with the CD40 cytoplasmic tail, four deletion mutants of the C26 cDNA were generated and studied in the yeast system for their ability to bind to the CD40 cytoplasmic tail. The TRAF-C subdomain of CRAF1 was necessary and sufficient for CRAF1 to interact with CD40 (Fig. 3). Moreover, the CRAF1 protein in yeast could interact with itself, forming homodimers or oligomers, also mediated by the TRAF-C domain (Fig. 3). Quantitative analysis of β -galactosidase expression indicated that the affinity of the TRAF-C domain of CRAF1 to bind to CD40 and to dimerize with itself was not increased by addition of the rest of the TRAF domain. These data suggest that the COOH-terminal portion of the TRAF domain functions as an individual unit (the TRAF-C domain) that is involved in both binding to the receptor tail and mediating dimerization.

Overexpression of the C26 partial cDNA fragment acts as a dominant negative protein, inhibiting CD40 signaling presumably by prevention of the binding of the endogenous protein to the CD40 tail. Ramos 2G6 cells (25) can be induced to up-regulate surface CD23 molecules in a contact-dependent fashion that depends on CD40L interaction with CD40 (3). Therefore, a cDNA construct was generated that drives the expression of a polyhistidine/C26 fusion protein (pEBVHis/C26) in mammalian cells. The C26 cDNA fragment was cut with Eco RI-Hinc III from yeast vector YSD, ligated into Bluescript IISK+ (Stratagene), and then recloned in-frame into the pEBVHisA vector (Invitrogen), with the use of Bam HI and Kpn I cuts, to create pEBVHis/C26. Stable Ramos cell lines containing either this construct or the control construct (pEBVHis/lacZ) were isolated by electroporation and hygromycin selection.

As a negative control for the effects of C26, the β -

galactosidase gene was expressed as a fusion protein in the same vector (pEB-VHis/lacZ) (Invitrogen). These constructs were electroporated into Ramos 2G6 cells, and clones expressing a large amount of pE-BVHis/C26 mRNA were prepared (Fig. 4A). CD40L-expressing cells (293.CD40L) were then cultured with Ramos 2G6 cells that either were not transfected or were stably expressing pEBVHis/lacZ or pEBVHis/C26. Either 2×10^5 Ramos B cells or Ramos B cells transfected with pEBVHis/C26 or pEBVHis/lacZ were incubated for 18 to 24 hours in 0.2 ml of medium alone, in rIL-4 at a concentration of 25 ng/ml, or in the presence of 5×10^4 293.CD40L cells. In some cases, mAb 5C8 (anti-CD40L) was added. Cells were then washed and incubated with saturating concentrations of mAb Leu-16 (anti-CD20) conjugated to fluorescein isothiocyanate (Becton Dickinson) and mAb to CD23 conjugated to phycoerythrin (Biosource International) for 45 min at 4°C in the presence of heat-aggregated IgG (80 µg/ml) (International Enzyme). Cells were washed to remove unbound antibody before fluorescence intensity was measured on a FACSCAN cytofluorograph (Becton Dickinson) with Consort 30 software.

The control and pEBVHis/lacZ-transfected Ramos lines up-regulated CD23; this effect was inhibited by a monoclonal antibody (mAb) to CD40L (mAb 5C8). In contrast, the ability of the pEBVHis/C26 transfectants to up-regulate CD23 in response to CD40L-CD40 signals was diminished. The inhibition of CD23 up-regulation by pEB-VHis/C26 was relatively specific because recombinant interleukin-4 (rIL-4)-induced up-regulation of CD23 was not affected (Fig. 4B-M). Similar effects were seen in all three subclones of pEBVHis/C26 transfectants. Thus, the COOH-terminal region of CRAF1 represented in the C26 cDNA could block the CD40 triggering of Ramos cells.

CD40 is a type I transmembrane glycoprotein belonging to

the TNF receptor superfamily. Besides CD40, 11 other proteins have been identified in this superfamily, which includes TNF receptors I and II, the nerve growth factor (NGF) receptor, and Fas (28). Members within this family share sequence similarity through their extracellular regions that contain multiple cysteine-rich pseudorepeats. The common structural framework of the extracellular domain is reflected in the ability of the TNF receptor superfamily members to interact with a parallel family of TNF-related cytokine ligands. Eight such ligands (including TNF- α , CD40L, and FasL) have been cloned that share extensive sequence identity and exist as secreted cytokines or type II transmembrane ligands (28).

The functions of TNF receptor superfamily members are very divergent. They range from general acute phase response and lymphocyte activation to nerve cell growth. In some circumstances, they have opposite roles. For instance, Fas and TNF α RI can cause apoptosis upon ligand stimulation, whereas CD40 and NGF receptors can rescue cells from apoptosis (29). In addition, stimulation of either TNF α RI, TNF α RII, or CD40 receptor activates nuclear factor kappa B (30). Because CRAF1 is very similar to TRAF1 and TRAF2, a family of signal transduction proteins (the TRAF family) probably exists as downstream signal transducers of the TNF receptor superfamily. It is likely that direct binding between members of the TNF receptor family and the TRAF family will be specific because the cytoplasmic tails of these TNF receptor superfamily members are relatively short and show little or no sequence homology. Consistent with this notion, the COOH-terminal segment of CRAF1 does not interact with the tail of Fas or with TNF α RII (Fig. 3). However, the fact that the members of the TRAF family can form either homodimers or heterodimers could result in extensive diversity and specificity in

their signal transduction pathways. It is even possible that apoptosis and cell survive may be determined by an equilibrium of dimerization between TRAF family members.

5 The functional consequences of CD40 signaling are different for B cells at different stages of differentiation (31). CD40 crosslinking causes resting B cells to enter into the cells cycle, enhancing the proliferative rate of some chronic lymphocytic leukemia
10 B cells, induces some B lymphoma cells to apoptose, and prevents germinal center B cells from apoptosis (14). However, CRAF1 is expressed at all stages of B cell differentiation and may be ubiquitous.

15 Gene Therapy

The invention features expression vectors for in vivo transfection and expression in particular cell types of CD40 receptor-associated factor truncated at the amino
20 terminus so as to antagonize the function of wild type CD40 receptor-associated factor in an environment in which the wild-type protein is expressed (i.e., introduce abnormal CD40 receptor-associated factor that acts as a dominant negative protein to inhibit CD40
25 signaling).

Expression constructs of CD40 receptor-associated factor polypeptides may be administered in any biologically effective carrier that is capable of effectively
30 delivering a polynucleotide sequence encoding the CD40 receptor-associated factor to cells in vivo. Approaches include insertion of the subject gene in viral vectors including recombinant retroviruses, adenovirus, adeno-associated virus and herpes simplex virus-1, or
35 recombinant bacterial or eukaryotic plasmids. Viral vectors transfect cells directly, plasmid DNA can be delivered with the help of, for , example, cationic

liposomes or derivatized (e.g., antibody conjugated) polylysine conjugates, gramicidin S, artificial viral envelopes or other such intracellular carriers, as well as direct injection of the gene construct or CaPO_4 precipitation carried out in vivo.

Any of the methods known in the art for the insertion of polynucleotide sequences into a vector may be used. See, for example, Sambrook et al., **Molecular Cloning: A Laboratory Manual**, Cold Spring Harbor Laboratory, Cold Spring Harbor, NY (1989) and Ausubel et al., **Current Protocols in Molecular Biology**, J. Wiley & Sons, NY (1992), both of which are incorporated herein by reference. Conventional vectors consist of appropriate transcriptional/translational control signals operatively linked to the polynucleotide sequence for a particular anti-fibrotic polynucleotide sequence. Promoters/enhancers may also be used to control expression of anti-fibrotic polypeptide. Promoter activation may be tissue specific or inducible by a metabolic product or administered substance. Such promoters/enhancers include, but are not limited to, the native E2F promoter, the cytomegalovirus immediate-early promoter/enhancer (Karasuyama et al., *J. Exp. Med.*, 169: 13 (1989)); the human beta-actin promoter (Gunning et al., *Proc. Natl. Acad. Sci. USA*, 84: 4831 (1987); the glucocorticoid-inducible promoter present in the mouse mammary tumor virus long terminal repeat (MMTV LTR) (Klessig et al., *Mol. Cell. Biol.*, 4: 1354 (1984)); the long terminal repeat sequences of Moloney murine leukemia virus (MuLV LTR) (Weiss et al., **RNA Tumor Viruses**, Cold Spring Harbor Laboratory, Cold Spring Harbor, NY (1985)); the SV40 early region promoter (Bernoist and Chambon, *Nature*, 290:304 (1981)); the promoter of the Rous sarcoma virus (RSV) (Yamamoto et al., *Cell*, 22:787 (1980)); the herpes simplex virus (HSV) thymidine kinase promoter (Wagner et al., *Proc.*

Natl. Acad. Sci. USA, 78: 1441 (1981)); the adenovirus promoter (Yamada et al., *Proc. Natl. Acad. Sci. USA*, 82: 3567 (1985)).

5 Expression vectors compatible with mammalian host cells
for use in gene therapy of tumor cells include, for
example, plasmids; avian, murine and human retroviral
vectors; adenovirus vectors; herpes viral vectors; and
non-replicative pox viruses. In particular,
10 replication-defective recombinant viruses can be
generated in packaging cell lines that produce only
replication-defective viruses. See *Current Protocols in
Molecular Biology*: Sections 9.10-9.14 (Ausubel et al.,
eds.), Greene Publishing Associates, 1989.

15 Specific viral vectors for use in gene transfer systems
are now well established. See for example: Madzak et
al., *J. Gen. Virol.*, 73: 1533-36 (1992: papovavirus
SV40); Berkner et al., *Curr. Top. Microbiol. Immunol.*,
20 158: 39-61 (1992: adenovirus); Moss et al., *Curr. Top.
Microbiol. Immunol.*, 158: 25-38 (1992: vaccinia virus);
Muzyczka, *Curr. Top. Microbiol. Immunol.*, 158: 97-123
(1992: adeno-associated virus); Margulskes, *Curr. Top.
Microbiol. Immunol.*, 158: 67-93 (1992: herpes simplex
25 virus (HSV) and Epstein-Barr virus (EBV)); Miller, *Curr.
Top. Microbiol. Immunol.*, 158: 1-24 (1992:retrovirus);
Brandyopadhyay et al., *Mol. Cell. Biol.*, 4: 749-754
(1984: retrovirus); Miller et al., *Nature*, 357: 455-450
(1992: retrovirus); Anderson, *Science*, 256: 808-813
30 (1992:retrovirus), all of which are incorporated herein
by reference.

Preferred vectors are DNA viruses that include
adenoviruses (preferably Ad-2 or Ad-5 based vectors),
35 herpes viruses (preferably herpes simplex virus based
vectors), and parvoviruses (preferably "defective" or
non-autonomous parvovirus based vectors, more preferably

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CD40 receptor-associated factor itself may also be chemically modified to facilitate its delivery to a

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hemolysins or "blending agents" such as alamethicin or
sulfhydryl activated lysins. Other carrier moieties
include cell entry components of bacterial toxins such
as Pseudomonas exotoxin, tetanus toxin, ricin toxin and
5 diphtheria toxin. Other useful carrier moieties include
proteins which are viral receptors, cell receptors or
cell ligands for specific receptors that are
internalized and cross mammalian cell membranes via
specific interaction with cell surface receptors. Such
10 cell ligands include epidermal growth factor, fibroblast
growth factor, transferrin and platelet derived growth
factor. The carrier moiety may also include bacterial
immunogens, parasitic immunogens, viral immunogens,
immunoglobulins, and cytokines.

15 In one embodiment, purified human immunodeficiency virus
type-1 (HIV) tat protein is the carrier moiety.
Purified human immunodeficiency virus type-1 (HIV) tat
protein is taken up from the surrounding medium by human
20 cells growing in culture. See Frankel et al., *Cell* 55:
1189-1193, (1988); Fawell et al., *Proc. Natl. Acad. Sci.*
USA, 91: 664-668 (1994) (use of tat conjugate); and
Pepinsky et al., *DNA and Cell Biology*, 13: 1011-1019
(1994) (use of tat genetic fusion construct), all of
25 which are incorporated herein by reference. See also
PCT Application Serial Number PCT/US93/07833, published
3 March 1994 which describes the tat-mediated uptake of
the papillomavirus E2 repressor; utilizing a fusion gene
in which the HIV-1 tat gene is linked to the carboxy-
30 terminal region of the E2 repressor open reading frame.
The tat protein can deliver, for example, abnormal or
wild type CD40 receptor-associated factor and
polynucleotide sequences into cells, either in vitro or
in vivo. For example, delivery can be carried out in
35 vitro by adding a genetic fusion encoding an abnormal
CD40 receptor-associated factor- tat conjugate to
cultured cells to produce cells that synthesize the tat

conjugate or by combining a sample (e.g., blood, bone marrow, tumor cell) from an individual directly with the conjugate, under appropriate conditions. The target cells may be in vitro cells such as cultured animal cells, human cells or microorganisms. Delivery may be carried out in vivo by administering the CD40 receptor-associated factor and tat protein to an individual in which it is to be used. The target may be in vivo cells, i.e., cells composing the organs or tissue of living animals or humans, or microorganisms found in living animals or humans. The ADP ribosylation domain from *Pseudomonas* exotoxin ("PE") and pancreatic ribonuclease have been conjugated to tat to confirm cytoplasmic delivery of a protein. The ADP phosphorylation domain is incapable of entering cells so that cytoplasmic delivery of this molecule would be confirmed if cell death occurs. Likewise, ribonuclease itself is incapable of entering cells so that inhibition of protein synthesis would be a hallmark of intracellular delivery using a tat conjugate.

Chemical (i.e., non-recombinant) attachment of CD40 receptor-associated factor polypeptide sequences to a carrier moiety may be effected by any means which produces a link between the two components which can withstand the conditions used and which does not alter the function of either component. Many chemical cross-linking agents are known and may be used to join an abnormal or wild-type CD40 receptor-associated factor polynucleotide sequence or polypeptide to carrier moieties. Among the many intermolecular cross-linking agents are, for example, succinimidyl 3-(2-pyridyldithio)propionate (SPDP) or N, N'-(1,2-phenylene)bismaleimide are highly specific for sulfhydryl groups and form irreversible linkages; N, N'-ethylene-bis-(iodoacetamide) (specific for sulfhydryl); and 1,5-difluoro-2,4-dinitrobenzene (forming

irreversible linkages with tyrosine and amino groups). Other agents include p,p'-difluoro-m,m'-dinitrodiphenylsulfone (forming irreversible linkages with amino and phenolic groups); dimethyl adipimidate (specific for amino groups); hexamethylenediisocyanate (specific for amino groups); disdiazobenzidine (specific for tyrosine and histidine); succinimidyl 4-(N-maleimidomethyl)cyclohexane-1-carboxylate (SMCC); m-maleimidobenzoyl-N-hydroxysuccinimide ester (MBS); and succinimide 4-(p-maleimidophenyl)butyrate (SMPB). The succinimidyl group of these cross-linkers reacts with a primary amine, and the thiol-reactive maleimide reacts with the thiol of a cysteine residue. See, Means and Feeney, *Chemical Modification of Proteins*, Holden-Day, 39-43, 1974; and S.S. Wong, *Chemistry of Protein Conjugation and Cross-Linking*, CRC Press, 1971. All the cross-linking agents discussed herein are commercially available and detailed instructions for their use are available from the suppliers.

In clinical settings, the delivery systems for the abnormal or wild-type CD40 receptor-associated factor polynucleotide sequence can be introduced into a patient by any number of methods, each of which is familiar to persons of ordinary skill. Specific incorporation of the delivery system in the target cells occurs primarily from specificity of transfection provided by the gene delivery vehicle, cell type or tissue type expression due to the transcriptional regulatory sequences controlling expression of the polynucleotide, or a combination thereof. In other embodiments, initial delivery of the recombinant gene is more limited with introduction into the animal being localized by, for example, catheter (U.S. Patent 5,328,470) or stereotactic injection (Chen et al., *Proc. Natl. Acad. Sci. USA*, 91: 3054-3057 (1994)).

The pharmaceutical preparation of the gene therapy construct can consist essentially of the gene delivery system in an acceptable diluent, or can comprise a slow release matrix in which the gene delivery vehicle is embedded. Where the complete gene delivery system can be produced intact from recombinant cells such as retroviral vectors, the pharmaceutical preparation can include one or more cells which produce the gene delivery system.

Effective amounts of the compounds of the invention may be administered in any manner which is medically acceptable. The method of administration may include injections, by parenteral routes such as intravenous, intravascular, intraarterial, subcutaneous, intramuscular, intratumor, intraperitoneal, intraventricular, intraepidural, or others as well as oral, nasal, ophthalmic, rectal, topical, or inhaled. The term "pharmaceutically acceptable carrier" means one or more organic or inorganic ingredients, natural or synthetic, with which the molecule is combined to facilitate its application. A suitable carrier includes sterile saline although other aqueous and non-aqueous isotonic sterile solutions and sterile suspensions known to be pharmaceutically acceptable are known to those of ordinary skill in the art. In this regard, the term "carrier" encompasses liposomes or the HIV-1 tat protein (See Pepinsky et al., supra) as well as any plasmid and viral expression vectors. An "effective amount" refers to that amount which is capable of ameliorating or delaying progression of the diseased, degenerative or damaged condition. An effective amount can be determined on an individual basis and will be based, in part, on consideration of the symptoms to be treated and results sought. An effective amount can be determined by one of ordinary skill in the art employing such factors and using no more than routine experimentation.

In preferred methods, an effective amount of the abnormal or wild-type CD40 receptor-associated factor or polynucleotide sequence encoding the factor (contained within its attendant vector; i.e., "carrier) may be directly administered to a target cell or tissue via direct injection with a needle or via a catheter of other delivery tube placed into the cell or tissue. Dosages will depend primarily on factors such as the condition being treated, the selected polynucleotide, the age, weight, and health of the subject, and may thus vary among subjects. An effective amount for a human subject is believed to be in the range of about 0.1 to about 50 ml of saline solution containing from about 1×10^7 to about 1×10^{11} plaque forming units (pfu)/ml CD40 receptor-associated factor polynucleotide containing, viral expression vectors.

Target cells treated by abnormal or wild-type CD40 receptor-associated factor polynucleotide sequences may be administered topically, intraocularly, parenterally, intranasally, intratracheal, intrabronchially, intramuscularly, subcutaneously or by any other means. Target cells to be treated by abnormal or wild-type CD40 receptor-associated factor protein may be administered topically, intraocularly, parenterally, intranasally, intratracheal, intrabronchially, intramuscularly, subcutaneously or by any other means.

The protein compounds of the invention are administered at any dose per body weight and any dosage frequency which is medically acceptable. Acceptable dosage includes a range of between about 0.01 and 500 mg/kg subject body weight. A preferred dosage range is between about 1 and 100 mg/kg. Particularly preferred is a dose of between about 1 and 30 mg/kg. The dosage is repeated at intervals ranging from each day to every other month. One preferred dosing regime is to

5 Another preferred regime is to administer a compound of the invention daily intravenously at 5 mg/kg body weight for the first three days of treatment, after which the compound is administered subcutaneously or intramuscularly every week at 10 mg per subject.

15 This delivery can be systemic, such as by intravascular
delivery, or local. Local means of delivery of
liposome-encapsulated compounds of the invention include
intratumor or intraorgan injection. It also includes
local delivery by catheter, such as intrahepatic
20 delivery into the portal vein, intrarenal or
intraprostate delivery via the urethra, intracholecystic
delivery via the bile duct, or delivery into various
blood vessels of interest, particularly the coronary
vessels or sites of vascular stenosis. Targeted
25 delivery may be accomplished by inserting components
into the surface of the liposomes or other carrier
moieties which confer target specificity. For example,
areas of inflammation might be targeted by coating the
carrier liposomes with monoclonal antibodies specific
30 for anti-CD40 ligand. Various types of tumors could be
selectively targeted by coating liposomes with
monoclonal antibodies specific for surface antigens
characteristic of the tumor cells.

The compounds of the invention may be administered as a single dosage for certain indications such as preventing immune response to an antigen to which a subject is

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